

## TRANSMISSION FORM

To,  
**NIKUNJ STOCK BROKERS LTD.**  
A-92, GF, Left Portion, Kamla Nagar, Delhi-110007

Date : \_\_\_\_\_

(1) **I/We, the undersigned, being the**

Executor(s) of the Will	<input type="checkbox"/>	Legal heir(s)	<input type="checkbox"/>
Administrator(s) of the Estate	<input type="checkbox"/>	Joint Holder(s)	<input type="checkbox"/>
Successor(s) of the Estate	<input type="checkbox"/>	Nominee	<input type="checkbox"/>

of Mr./Mrs./Ms. \_\_\_\_\_,  
Mr./Mrs./Ms. \_\_\_\_\_ and  
Mr./Mrs./Ms. \_\_\_\_\_,  
the deceased, of which \*nomination/probate/letter of administration/succession certificate was duly granted to me/us on the  
\_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_ hereby request you to register me/us as the beneficial  
owner(s) in respect of the securities standing in the name of the said deceased under Client ID \_\_\_\_\_  
DPID \_\_\_\_\_.

(2) **I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted :**

Name	Client ID	DP ID

(3) **List of Documents enclosed (for Individual accounts) (tick as applicable) :**

**A.** For surviving holder(s) in a joint account

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

**B.** For nominee of the deceased

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee.

**C.** For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 lakh on the date of application

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.

Indemnity (*format enclosed*)

Affidavit (*format enclosed*)

No objection certificate(s) (*format enclosed*)

Family Settlement Deed [*as an alternate to No objection certificate(s)*]



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D. For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.
- Succession certificate
- Letter of Administration
- Probate of the Will

### (4) Declaration

*(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application).*

We declare that the below mentioned person(s) are the only heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimant and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below :

Sr. No.	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

### (5) Signatures :

Sr. No.	Name of claimant	Signature of Claimant

### Notes :

1. This request form should be signed by the surviving joint holder(s)/legal heir(s)/legal representative(s)/nominee, as the case may be.
2. \*Strike off whichever is not applicable.

